

JC09 Rec'd PCT/PTO 06 OCT 2005

Docket No: 740186-35

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase Patent Application of)
Koki KUNII)
International Application No. PCT/JP2004/016755) Attn: US/DO/EO
Filed: November 11, 2004)
For: ND FILTER AND LIGHT QUANTITY)
DIAPHRAGMING DEVICE INCLUDING)
THE SAME) Date: October 6, 2005

INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
Customer Window
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. In accordance with the PTO notice dated July 11, 2003, waiving the requirement under 37 CFR 1.98 (a)(2)(i) for submitting copies of each cited U.S. Patent, for all U.S. national patent applications filed after June 30, 2003, no copies of U.S. Patents are enclosed. However, copies of foreign patents and non-patent literature are submitted under 37 CFR 1.98(a)(2).

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested

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that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	Not Yet Assigned
				Filing Date	October 6, 2005
				First Named Inventor	Koki KUNII
				Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	740186-35

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-2003/0026014 A1	02/06/2003	Kunii	
		US-5,715,103	02/03/1998	Amano et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		JP 2003-43211 A	02/13/2003	Kunii		Abstract
		JP 07-063915	03/10/1995	Amano et al.		Abstract
		JP 2003-279685	09/27/2002	Miyake		Abstract
		JP 2002-371236	12/26/2002	Shinohara et al.		Abstract
		JP 2003-202612	07/18/2003	Nakajima et al.		Abstract
		JP 2002-350610	12/04/2002	Shimoda		Abstract
		JP 2003-186239	07/03/2003	Sugiura et al.		Abstract

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.